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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.           | CONFIRMATION NO.       |
|--|-------------|----------------------|-------------------------------|------------------------|
| 10/565,076   | 01/18/2006  | Takeshi Koda         | 8048-1138                     | 3920                   |
| 466 7590 08/18/2009<br>YOUNG & THOMPSON<br>209 Madison Street<br>Suite 500<br>ALEXANDRIA, VA 22314 |             |                      | EXAMINER<br>PENDLETON, DIONNE |                        |
|  |             |                      | ART UNIT<br>2627              | PAPER NUMBER           |
|  |             |                      | MAIL DATE<br>08/18/2009       | DELIVERY MODE<br>PAPER |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/565,076

**Applicant(s)**

KODA ET AL.

**Examiner**

DIONNE H. PENDLETON

**Art Unit**

2627

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 May 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 16, 17, 19, 20 and 22-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 16, 17, 19, 20 and 22-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 1/18/2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. **Claims 16, 17, 19, 20 and 22-26** are rejected under either one of 35 U.S.C. 102(a) or (e) as being anticipated by **Park (US Pub. No. 2005/0025003)**.

#### **Regarding claim 16,**

Park teaches a write-once-type recording medium (**paragraph [0038]**) comprising:

a data area to record therein the record data (**see “user area” in figure 2**);

a control information recording area, which includes a definite defect management area to record therein defect management information of said data area, to record therein information for controlling at least one of operations of recording and reading in said data area (**see the “Lead-out” area which contains therein “DMA” areas**);

and a shared area (“OSAO”, in figure 2), which is disposed between said control information recording area and said data area, to record therein evacuation data which is record data to be recorded at a position of a defect in said data area (paragraph [0039]) and to temporarily record therein the defect management information of said data area (see “TDMA2” in figure 2), the evacuation data being recorded with one predetermined point which exists in said shared area as a start point (“24” in figure 4), the defect management information being recorded with another predetermined point which exists at a different point from the one point as a start point, in said shared area (“21” or “22” in figure 4), wherein the defect management information includes (i) an evacuation source address which is an address of the position of the defect in the data area and (ii) an evacuation destination address which is an address of a recording position of the evacuation data (see paragraph [0056]-[0057] which discloses that “OSAO” area also includes address information of the defective cluster i.e., “evacuation source address”, as well and address information of the replacement cluster i.e., “evacuation destination address”).

**Regarding claim 17,**

Park teaches that the evacuation data is continuously recorded with the one point as the start point and the defect management information is continuously recorded with the another point as the start point, in the shared area (figure 4 teaches that the evacuation data (24) and the defect management information (21,22) are *continuously* recorded in respective areas, as broadly claimed).

**Regarding claim 19,**

Park teaches that the evacuation data and defect management information are recorded, repeatedly, a plurality of times, in said shared area (**paragraph [0056] discloses that each defect which is detected will result in a “replacement” operation, as illustrated in figure 4, wherein evacuation data and defect management information are *repeatedly* recorded for each respective defect).**

**Regarding claims 20 and 23,**

Park teaches a recording/reproducing apparatus for recording/reproducing record data onto/from a write-once-type recording medium (**paragraph [0038]**) comprising:

- (i) a data area to record therein the record data (**see “user area” in figure 2**);
- (ii) a control information recording area, which includes a definite defect management area to record therein defect management information of said data area, to record therein information for controlling at least one of operations of recording and reading in said data area (**see the “Lead-out” area which contains therein “DMA” areas**);
- (iii) a shared area (“OSAO”, **in figure 2**), which is disposed between said control information recording area and said data area, to record therein evacuation data which is record data to be recorded at a position of a defect in said data area (**paragraph [0039]**) and to temporarily record therein the defect management information of said data area (**see “TDMA2” in figure 2, wherein the defect**

management information includes (i) an evacuation source address which is an address of the position of the defect in the data area and (ii) an evacuation destination address which is an address of a recording position of the evacuation data (see paragraph [0056]-[0057] which discloses that “OSAO” area also includes address information of the defective cluster i.e., “evacuation source address”, as well and address information of the replacement cluster i.e., “evacuation destination address”),

said recording/reproducing apparatus comprising:

a first recording device for recording the record data into said data area **(parts and elements operating to perform said function are illustrated in figure 11);**

and a second recording device for recording the evacuation data and the defect management information into said shared area **(parts and elements operating to perform said function are illustrated in figure 11)**, said second recording device recording the evacuation data with one predetermined point which exists in said shared area as a start point **(“24” in figure 4)**, the defect management information being recorded with another predetermined point which exists at a different point from the one point as a start point, in said shared area **(“21” or “22” in figure 4);**

a reading device for reading the defect management information recorded in said shared area **(parts and elements operating to perform said function are illustrated in figure 11),;** and

a reproducing device for reproducing the record data recorded in said data area or the evacuation data recorded in said spare area, on the basis of the defect management information **(parts and elements operating to perform said function are illustrated in figure 11)** .

**Regarding claims 22 and 24,**

Park teaches a recording/reproducing method of recording/reproducing record data onto/from a write-once-type recording medium **(paragraph [0038])** comprising:

- (i) a data area to record therein the record data **(see “user area” in figure 2)**;
- (ii) a control information recording area, which includes a definite defect management area to record therein defect management information of said data area, to record therein information for controlling at least one of operations of recording and reading in said data area **(see the “Lead-out” area which contains therein “DMA” areas)**; and
- (iii) a shared area **(“OSAO”, in figure 2)**, which is disposed between said control information recording area and said data area, to record therein evacuation data which is record data to be recorded at a position of a defect in said data area **(paragraph [0039])** and to temporarily record therein the defect management information of said data area **(see “TDMA2” in figure 2)**, wherein the defect management information includes (i) an evacuation source address which is an address of the position of the defect in the data area and (ii) an evacuation destination address which is an address of a recording position of the evacuation data (see paragraph

**[0056]-[0057] which discloses that “OSAO” area also includes address information of the defective cluster i.e., “evacuation source address”, as well and address information of the replacement cluster i.e., “evacuation destination address”),**

Said recording/reproducing method comprising:

a first recording/reading process of recording/reading the record data into/from said data area **(parts and elements operating to perform said function are illustrated in figure 11);**

a reproducing process and a reproducing process of reproducing the record data recorded in said data area or the evacuation data recorded in said spare area, on the basis of the read defect management information **([0070]);**

a second recording process of recording the evacuation data and the defect management information into said shared area **(parts and elements operating to perform said function are illustrated in figure 11)**, said second recording process recording the evacuation data with one predetermined point which exists in said shared area as a start point **(“24” in figure 4)**, said second recording process recording the defect management information with another predetermined point which exists at a different point from the one point as a start point, in said shared area **(“21” or “22” in figure 4).**

**Regarding claims 25 and 26,**

Park teaches a computer program product for recording/reproduction control in a computer-readable medium for tangibly embodying a program of instructions executable by a computer provided for a recording/reproduction apparatus, said program making the computer function as at least a first portion of a recording/reading device and a second recording/reading device (**paragraph [0038]**), said apparatus comprising:

a data area to record therein the record data (**see “user area” in figure 2**);

a control information recording area, which includes a definite defect management area to record therein defect management information of said data area, to record therein information for controlling at least one of operations of recording and reading in said data area (**see the “Lead-out” area which contains therein “DMA” areas**);

and a shared area (**“OSAO”, in figure 2**), which is disposed between said control information recording area and said data area, to record therein evacuation data which is record data to be recorded at a position of a defect in said data area (**paragraph [0039]**) and to temporarily record therein the defect management information of said data area (**see “TDMA2” in figure 2**), the evacuation data being recorded with one predetermined point which exists in said shared area as a start point (**“24” in figure 4**), the defect management information being recorded with another predetermined point which exists at a different point from the one point as a start point, in said shared area (**“21” or “22” in figure 4**), wherein the defect management

information includes (i) an evacuation source address which is an address of the position of the defect in the data area and (ii) an evacuation destination address which is an address of a recording position of the evacuation data (see paragraph [0056]-[0057] which discloses that “OSAO” area also includes address information of the defective cluster i.e., “evacuation source address”, as well and address information of the replacement cluster i.e., “evacuation destination address”),

said recording/reproducing apparatus comprising:

a first recording device for recording the record data into said data area **(parts and elements operating to perform said function are illustrated in figure 11);**

and a second recording device for recording the evacuation data and the defect management information into said shared area **(parts and elements operating to perform said function are illustrated in figure 11)**, said second recording device recording the evacuation data with one predetermined point which exists in said shared area as a start point (“24” in figure 4), the defect management information being recorded with another predetermined point which exists at a different point from the one point as a start point, in said shared area (“21” or “22” in figure 4);

a reading device for reading the defect management information recorded in said shared area **(parts and elements operating to perform said function are illustrated in figure 11),;** and

a reproducing device for reproducing the record data recorded in said data area or the evacuation data recorded in said spare area, on the basis of the defect

management information (**parts and elements operating to perform said function are illustrated in figure 11**) .

### ***Response to Arguments***

2. Applicant's arguments with respect to claims rejected in the Official Action mailed on 2/17/2009, have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

3. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIONNE H. PENDLETON whose telephone number is (571)272-7497. The examiner can normally be reached on 10:30-7:00 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wayne Young can be reached on 571-272-7582. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Dionne H Pendleton/  
Examiner, Art Unit 2627

/Wayne Young/  
Supervisory Patent Examiner, Art Unit 2627